### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : Nat'l Sta

:

Nat'l Stage of PCT/2004/007877

Applicant

Nobuhiro Ota, et al.

Filed

(On even date herewith)

T.C./A.U.

(to be assigned)

Examiner

(to be assigned)

Docket No.

39.057

Customer No. :

29453

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The documents listed on the accompanying Form PTO/SB/08 are hereby brought to the Examiner's attention in the patent application identified above.

#### **REMARKS**

Cite Nos. F1 through F4, as listed on Form PTO/SB/08, were cited in the International Search Report for PCT/JP2004/007877, of which the presently submitted patent application is to be the National Stage. These references and the rest of the references listed under "Foreign Patent Documents," i.e., Cite Nos. F5 through F8 are not in English.

## Concise Explanation of Relevance/Copy of Translation Pursuant to 37 C.F.R. § 1.98(a)(3)

As a concise explanation of the relevance of Cite Nos. F1 through F4, a copy of the International Search Report, in Japanese, accompanies this paper. Although

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the Search Report is not in English, the categories designating the relevancy as assessed by the International Searching Authority are readily apparent next to the corresponding document identification numbers on the report.

Cite Nos. F5 and F6 are mentioned in the paragraph that begins in line 1 on page 2 in the Background Art section of the present specification.

As to the relevance of Cite Nos. F7 and F8, English abstracts of these references are available on the Japanese Patent Office website through Patent Abstracts of Japan (PAJ), and a copy of the corresponding abstract is attached to the back of each.

In addition, inasmuch as English abstracts of Cite Nos. F1 through F6 are likewise available through (PAJ), for the Examiner's reference a copy of the corresponding abstract is attached to the back of each of these references as well. (Note that Cite No. F3 is a published Japanese examined patent application, as opposed to a published unexamined patent application, which is what Cite Nos. F1, F2, and F4 through F8 are. Nevertheless, since PAJ only provides abstracts for published unexamined applications, the English abstract for Cite No. F3 is taken from the published corresponding unexamined patent application.)

The Examiner is respectfully requested to consider these references, to indicate so on the accompanying Form PTO/SB/08A, and to make the document of record in the present application.

Respectfully submitted,

Date: Oct. 3, 2005

James W. Judge

Registration No. 42,701

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STATEMENT BY APPLICANT (Use as many sheets as necessary)

Complete if Known Application Number Nat'l Stage of PCT/JP2004/007877 Filing Date (On even date herewith) First Named Inventor Nobuhiro Ota Art Unit (To be assigned) **Examiner Name** (To be assigned) Attorney Docket Number 39.057

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup>                         | Document Number                         | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--|---|-----------------------------|--|---|
|                       |  | Number-Kind Code <sup>2 (f known)</sup> |                             |  |   |
|                       | U1   | <sup>US-</sup> 5,314,765-A              | 05-24-1994                  | Bates  |   |
|                       | U2   | <sup>US-</sup> 6,025,094-A              | 02-15-2000                  | Visco, et al.                                      |   |
|                       |  | US-                                     |                             |  |   |
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|                    | FOREIGN PATENT DOCUMENTS |   |                     |  |   |          |  |  |
|--------------------|--------------------------|---|---------------------|--|---|----------|--|--|
| Examiner Initials* | Cite<br>No.1             | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages | <b>6</b> |  |  |
|                    |                          | Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | To       |  |  |
|                    | F1                       | JP-2002-097564-A  | 04-02-2002          | Sumitomo Electric                                  |   | <u></u>  |  |  |
|                    | F2                       | JP-2002-100346-A  | 04-05-2002          | Sumitomo Electric                                  |   | ~        |  |  |
|                    | F3                       | JP-S61-038585-B2  | 08-29-1986          | Matsushita Electric                                |   | 1        |  |  |
|                    | F4                       | JP-2001-307771-A  | 11-02-2001          | Asahi Kasei  |   | 1        |  |  |
|                    | F5                       | JP-2000-340257-A  | 12-08-2000          | Sumitomo Electric                                  |   | ~        |  |  |
|                    | F6                       | JP-2002-329524-A  | 11-15-2002          | Sumitomo Electric                                  |   | ~        |  |  |

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|------------------------|----------------------------------|--|--|--|
| Application Number     | Nat'l Stage of PCT/JP2004/007877 |  |  |  |
| Filing Date            | (On even date herewith)          |  |  |  |
| First Named Inventor   | Nobuhiro Ota                     |  |  |  |
| Art Unit               | (To be assigned)                 |  |  |  |
| Examiner Name          | (To be assigned)                 |  |  |  |
| Attorney Docket Number | 39 057                           |  |  |  |

| U. S. PATENT DOCUMENTS |  |  |                                |  |   |  |
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| Examiner Initials*     | Cite<br>No.1                                     | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
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|                    |              | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | <u> </u>     |
|                    | F7           | JP-2004-146348-A  | 05-20-2004          | Samsung SDI  |   | ~            |
|                    | F8           | JP-2004-146361-A  | 05-20-2004          | Samsung SDI  |   | ~            |
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